

We declare and certify our entity status under Rules 114F to 114H of the Income tax Rules, 1962 notified vide CBDT Notification No. S.O. 2155(E) dated 7 August 2015 and RBI Circular Ref No. DBR.AML.BC.No.36/14.01.001/2015-16 dated 28 August 2015, as under:

	Tick status of Financial Institution		Yes	No		
	Name of Entity					
1.	a) Depository Institution					
	b) Custodial Institution					
	c) Investment Entity which is not a passive NFE					
	d) Specified Insurance Company					
2.	Owner-Documented FI with substantial US owner(s) – details of substantial US Owner to be captured as per Annexure-II					
3.	Reporting Financial Institution					
4.	If 2 OR 3 above is yes, please provide Global Intermediary Identification Number (GIIN)					
5.	Non-Participating Financial Institution					
6.	Non-Reporting Financial Entity (If Yes, Please Tick one of the category in the Table below)					
	S No.	Category of NRFI	(✓)	S No.	Category of NRFI	(✓)
	1.	Governmental Entity;		13.	Provident fund	
	2.	International Organisation;		14.	An Indian investment entity which is wholly held by NRFI's referred to in (i) to (xiii) above and where any debt interest is held by a depository institution or NRFI's referred to in (i) to (xiii) above	
	3.	Central Bank;		15.	Qualified credit card issuer;	
	4.	Treaty Qualified Retirement Fund;		16.	Specified Investment entity as per CBDT rules (Rule 114F(5)(f));	
	5.	Narrow Participation Retirement Fund;		17.	Exempt collective investment vehicle;	
	6.	Broad Participation Retirement Fund;		18.	Trustee-documented Indian Trust;	
	7.	Pension Fund of a Governmental Entity;		19.	Financial Institution with a local client base;	
	8.	Pension Fund of an International Organisation;		20.	Local Bank (including Regional Rural Bank, Urban Cooperative Banks, State Cooperative Banks / District Central Cooperative Banks, Local Area Banks provided that the assets test as in Explanation (O) to Rule 114F(5);	
	9.	Pension Fund of a Central Bank;		21.	Financial Institution with only low-value accounts;	
	10.	Non-public fund of the armed forces;		22.	Sponsored investment entity and controlled foreign corporation (in case of any U.S. reportable account);	
	11.	Employees' state insurance fund;		23.	Sponsored closely held investment vehicle (in case of any U.S. reportable account)	
	12.	Gratuity Fund;		24.	An Indian investment entity which is wholly held by NRFI's referred to in (i) to (xiii) above and where any debt interest is held by a depository institution or NRFI's referred to in (i) to (xiii) above	
7.	Sponsored Investment Entity					
	a) GIIN of Sponsored entity					

We certify that we have the capacity to sign for the Financial Institution as per CBDT rules/RBI guidelines.

Date:

Place: \_\_\_\_\_

SIGNATURE(S)  
NAME OF THE AUTHORIZED PERSON OF ENTITY