

RFP REFERENCE NO. 172020

REQUEST FOR PROPOSAL (RFP)

FOR

APPOINTMENT OF AN INDEPENDENT EXTERNAL MONITORS (IEMs)



बैंक ऑफ महाराष्ट्र
Bank of Maharashtra

भारत सरकार का उद्यम

एक परिवार एक बैंक

Head Office, 'LOKMANGAL' 1501, Shivaji Nagar, Pune – 411 005



Appointment of Independent External Monitors (IEMs) for Integrity Pact

Bank of Maharashtra is a nationalized bank with a standing of 86 years. It has a three tier organizational set up consisting of Branches, Zonal Offices and Head Office having presence in 22 states. Bank intends to appoint Independent External Monitors for Procurement.

To ensure that all activities and transactions between Bank and its suppliers and contractors are dealt in a fair, transparent and corruption-free manner, Bank has implemented the Integrity Pact Policy [IPP]. Bank invite applications from interested persons for appointment of Independent External Monitors (IEM). IEMs will have to help Bank in implementing the IPP. Further, the IEMs will have to review independently and objectively, whether and to what extent parties have complied with obligations under Integrity Pact (IP), which envisages an agreement between the prospective vendors/bidders and Bank, in respect of all high value (amount specified in the IPP) procurements, committing the persons/officials of both the parties not to exercise any corrupt influence on any aspect of the contract.

1	Name of Post	Independent External Monitor (IEM)
2	No of Posts	Two (2)
3	Scope of work	Monitoring Compliance to Integrity Pact (IP) in identified procurement
4	Age	Maximum 70 years (Age should not be more than 70 years at the time of appointment)
5	Eligibility Criteria	The role of IEMs involves monitoring of the process of purchase of IT / premises related goods and services, etc., the IEMs should be: a. A person retired from very senior positions. The very senior positions shall mean <ul style="list-style-type: none">• Level of Additional Secretary to the Government of India and above or equivalent pay scale.• For Public Sector Undertakings, top positions shall mean Board level and above.



		<ul style="list-style-type: none">• Officers of the Armed Forces who have retired from the rank equivalent of Lt. General and above.b. The IEM should have been involved in high public procurement process and should be conversant with CVC guidelines on the same.c. The IEM should not have been awarded any major disciplinary penalty during erstwhile service or any disciplinary action / case is pending for decision / judgment with any committee / court of Law or with any other competent authority.d. The IEM shall have reasonable knowledge of IT Hardware / Software / Services or in the matter pertaining to premises and related procurementse. A candidate who is already on the panel / appointed as IEM in two or more Organizations is not eligible to apply
6	Tenure	The normal term of appointment for an IEM would be 3 years, and it would be subject to renewal by the Commission thereafter.
7	Remuneration & other allowances	The remuneration & other allowances are payable to the IEMs would be equivalent to that of an Independent Director on the Board of the Bank.
8	Mode of Selection	The eligible candidates who evince interest may be interviewed by a Committee. The candidates shortlisted will be referred to Central Vigilance Commission.

The Role and Functions of Independent External Monitor (IEMs) is as under

- a. The main function of the IEM will be to oversee implementation of the Integrity Pact Program, to prevent corruption, or any other unethical practices in the implementation of the contract.
- b. IEM would have access to all Contract documents, whenever required. Ideally, all IEMs of the Bank should meet in two months to take stock of the ongoing tendering processes.
- c. It would be desirable to have structured meeting of the IEMs with the CVO / Chief



Executive of the Bank on a quarterly basis to discuss/review the information on tenders awarded in the previous month.

- d. The IEMs would examine all complaints received by them and give their recommendations/views to the Chief Executive of the organization, at the earliest. They may also send their report directly to the CVO and the Commission, in case of suspicion of serious irregularities requiring legal/administrative action.
- e. At least one IEM should be invariably cited in the Notice Inviting Tender (NIT). However, for ensuring the desired transparency and objectivity in dealing with the complaints arising out of any tendering process, the matter should be examined by the full panel of IEMs, who would look into the records, conduct an investigation, and submit their joint recommendations to the Management.
- f. The recommendations of IEMs would be in the nature of advice and would not be legally binding. At the same time, it must be understood that IEMs are not consultants to the Management. Their role is independent in nature and the advice once tendered would not be subject to review at the request of the organization.
- g. Non-Disclosure Agreement:

The IEM should sign Non-Disclosure Agreement (NDA) with Bank.

The IEM shall hold all information about all tender and / or information gathered about the Bank through any tendering process in strict confidence with the same degree of care with which the IEM protects its own confidential and proprietary information.

To use the Information only as needed for the purpose solely related to respective Project's except for the purpose of execution of Projects, not to disclose or otherwise provide such information or knowingly allow anyone else to disclose or otherwise provide such Information.

The IEM shall not disclose any information to parties not involved in supply of the products and services forming part of any tender and disclosure of information to parties not involved in supply of the products and services forming part of any tender will be treated as breach of trust and invite legal action. This will also mean termination of the contract and disqualification of the IEM in any future tendering process of the Bank.

Any information considered sensitive must be protected by the IEM from unauthorized disclosure or access.

Note:

- 1) Bank reserve the right to modify/delete any criteria mentioned above.
- 2) Prescribed application format to be downloaded from Bank's website.



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- 3) Duly filled application along with attested Xerox copies of the documents should reach **The Assistant General Manager (IT), Bank of Maharashtra, Information Technology Department, Head Office, Lokmangal, 1501 Shivajinagar, Pune 411 005** on or before 10.11.2020. Application not submitted in the prescribed format are liable to be rejected.
Contact Details for any further clarifications, the applicant may contact:
Name: Vijay Patil. Chief Manager, ITes.
Telephone Number : (020) 2561 4450 ; Mobile Number: 97300 00496
e-Mail Address : cmities@mahabank.co.in
- 4) Mere satisfying the eligibility norms do not entitle a candidate to be called for Personal Interview. The Bank reserves the right to reject any application not suiting the Bank's requirements without assigning any reason whatsoever and call only the requisite number of candidates out of those who fulfill the eligibility criteria as may be required for the post.
- 5) Bank also reserves the right to reject any application not suiting the Bank's requirements and cancel/defer the entire process, without assigning any reason whatsoever.
- 6) All Educational qualifications should be from a recognized Indian University / Statutory body only.
- 7) Any resultant dispute arising out of this advertisement shall be subject to the sole jurisdiction of the Courts situated at Pune, Maharashtra.

Date: 14.10.2020

Place: Pune

General Manager,

Information Technology



6. POST HELD AT THE TIME OF RETIREMENT:

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7. DATE OF RETIREMENT:

D	D	M	M	Y	Y	Y	Y

8. CONTACT DETAILS :

TELEPHONE NUMBER	MOBILE NUMBER
e-Mail Address :	

9. INDICATE BY MARK ON APPROPRIATE BOX:

i. GENDER : MALE FEMALE

ii. Whether belongs to Minority Community : YES NO

If YES, Name of community:
Muslim / Sikh / Christian / Buddhists / Zoroastrian

10. PERMANENT ADDRESS:

																	PIN CODE		

11. EDUCATIONAL / PROFESSIONAL QUALIFICATION:

EDUCATIONAL QUALIFICATION	BOARD / UNIVERSITY	SUBJECT STUDIED	YEAR OF PASSING	% OF MARKS
GRADUATION				
POST GRADUTION				
OTHER QUALIFICATION				
PROFESSIONAL QUALIFICATION				

12. PRESENT ASSIGNMENTS (IF ANY):



NAME OF THE CURRENT EMPLOYER	
WORKING WITH CURRENT EMPLOYER SINCE	
DESIGNATION	

13. POSITION HELD (During the Ten preceding years of Superannuation)

Sr No	Organization	Designation	Place of posting	Total period of Service		Pay Scale at the time of retirement	Reason for Leaving	Years of Service
				From	To			
1								
2								
3								

14. BRIEF DETAILS OF WORK EXPERIENCE

<ul style="list-style-type: none"> • • • • •

15. EXPERIENCE IN HANDLING PROCUREMENTS/ TENDERS:

<ul style="list-style-type: none"> • •
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A separate sheet has to be attached regarding candidates experience in handling high value procurements.

16. WHETHER WORKING AS IEM OR IN SIMILAR CAPACITY : YES / NO
IF YES

NAME OF THE ORGANISATION	
WORKING AS IEM / SIMILAR CAPACITY SINCE	



17. BRIEF DETAILS OF ANY OTHER DOMAIN EXPERIENCE:

<ul style="list-style-type: none">•••

18. LIST OF PSUs IN WHICH YOUR APPOINTMENT AS IEM MAY HAVE POTENTIAL CONFLICT OF INTEREST

<ul style="list-style-type: none">••

Declaration

I hereby declare that the information furnished in the application is true and correct to be the best of my knowledge and belief. I understand that incase of furnishing of any false information or suppression of any information the application shall be liable for rejection.

DATE :

PLACE:

SIGNATURE OF THE APPLICANT