

Corrigendum 1 to RFP-01/2022-23 RFP for Supply, Installation, Customization, Commissioning and Maintenance of Integrated Audit & Compliance Software (IACS)

RFP Ref.No.01/2022-23 Dated 04.04.2022

With reference to RFP Ref No. 01/2022-23 Dated 04.04.2022 published on our website <https://www.bankofmaharashtra.in>, and subsequent to Pre-Bid meeting held on 18.04.2022 at 1500 hours with bidders, following amendments to the RFP document are made with immediate effect. Responses to pre-bid meeting are uploaded on Bank's website separately,

SN	RFP Page No.	Point No.	RFP Term/Clause no.	Clause as per RFP				Clause Revised as																											
				Sn	Specifications	License Cost	Implementation Cost	Sn	Specifications	License Cost	Implementation Cost																								
1.	140		Techno-Commercial Evaluation	1	Sign off of Functional Requirement Specification by Bank and SI	10%	10%	Payment will be released module wise. For each Module the milestones are as below- <table border="1" data-bbox="1360 477 2039 899"> <thead> <tr> <th>Sn</th> <th>Specifications</th> <th>License Cost</th> <th>Implementation Cost</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Sign off of Functional Requirement Specification by Bank and SI</td> <td>15%</td> <td>15%</td> </tr> <tr> <td>2</td> <td>UAT sign off</td> <td>40% of license Cost</td> <td>40%</td> </tr> <tr> <td>3</td> <td>Go live</td> <td>25% of license Cost</td> <td>25%</td> </tr> <tr> <td>4</td> <td>After set up of DC DR and successful completion of at least one DR drill</td> <td>10% of license Cost</td> <td>10%</td> </tr> <tr> <td>5</td> <td>After completion of warranty period of Application software</td> <td>10% of license Cost</td> <td>10%</td> </tr> </tbody> </table>				Sn	Specifications	License Cost	Implementation Cost	1	Sign off of Functional Requirement Specification by Bank and SI	15%	15%	2	UAT sign off	40% of license Cost	40%	3	Go live	25% of license Cost	25%	4	After set up of DC DR and successful completion of at least one DR drill	10% of license Cost	10%	5	After completion of warranty period of Application software	10% of license Cost	10%
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2.	137	7.22	Annexure D: Eligibility Evaluation Sr. No. 8	The Bidder should have experience of completing at least 2 projects covering implementation and support for IAMS modules in at least two Scheduled Commercial Banks in India successfully. The solution should be live as on date of floating of RFP. The bidder should submit a letter issued by the scheduled commercial banks stating the services are found satisfactory.				The Bidder should have experience of completing the implementation and support for IAMS modules in at least one Scheduled Commercial Banks having minimum 500 Branches in India successfully. The solution should be live as on date of floating of RFP. The bidder should submit a letter issued by the scheduled commercial banks stating the services are found satisfactory.																											

SN	RFP Page No.	Point No.	RFP Term/Clause no.	Clause as per RFP	Clause Revised as
3.	115 and 116	7.21	Annexure C: Scope of Work 19 Overall Functional Requirements	Training & documentation- Additional Point added	Knowledge transfer with respect to Application / Database Design, System Architecture, Application Architecture, Data Model, etc. will be subject to Intellectual Property Rights (IPR) / Policies of the bidder/OEM, and shall be limited to the requirements of the users in their effective usage of the Application pertaining to the various business functions contained herein this RFP.
4.	90	7.2	Annexure B: Technical Evaluation Criteria (Specific Reference to 1.1 and 1.2)	Criteria Ref No. 1.1 10 Marks – with implementation of IACS software in 1 Public Sector Bank with 4 or more modules 5 Marks – with implementation of IACS software in 1 Private Sector Bank Scores will be multiple based on above criteria for number of credentials subject to maximum of 30 Marks Criteria Ref No. 1.2 5 Marks if less than 4 Modules are implemented 10 Marks if 5-8 Modules are implemented 15 Marks if 9-12 modules are implemented 20 Marks if more than 12 modules are implemented	Annexure-B modified as enclosed with this corrigendum

SN	RFP Page No.	Point No.	RFP Term/Clause no.	Clause as per RFP	Clause Revised as
5.	121	26.3	Technical requirement	The Proposed Solution should support Oracle. Bank will provide Oracle licenses to the selected bidder.	The Proposed Solution should support popular databases like Oracle, MSSQL, MySQL, etc. The bidder is required to furnish the details of OS and Databases supported by solution along with Technical bid.
6.	128	35	Hardware Sizing	The proposed solution shall be hosted on Bank's on premise hyper-converged infrastructure in Red Hat Linux OS version 7. However, solution should have capability to migrate to any other platform as per the Bank's requirement. Bank shall provide Hardware, Operating System and Oracle Database. However, the selected bidder has to complete Installation, Configuration, Customization, Maintenance and Support of proposed Integrated Audit & Compliance Software.	The proposed solution shall be hosted on Bank's on premise hyper-converged infrastructure in Red Hat Linux OS version 8 or above or Windows Server 2016 or Windows Server 2019 . However, solution should have capability to migrate to any other platform as per the Bank's requirement. Bank shall provide Hardware, Operating System and Database. However, the selected bidder has to complete Installation, Configuration, Customization, Maintenance and Support of proposed Integrated Audit & Compliance Software. The bidder should also provide the list of components / software required as per Annexure I of this document.
7.	142	7.24	Annexure F – Project Timelines	The proposed solution must be implemented as per project scope within a period of 4 months in totality from the date of placing order by Bank. However, the solution needs to be rolled out in phases as explained below: 3. Bank expects implementation of all the phases with production signoff within 4 (Four) Months from Date of Purchase Order.	The proposed solution must be implemented as per project scope within a period of 9 months in totality from the date of placing order by Bank. However, the solution needs to be rolled out in phases as explained below: 3. Bank expects implementation of each of three phases with production signoff within 3 (Three) Months from Date of Purchase Order for each phase. The total

					<p>Timeline for Implementation of Proposed solution shall be 9 (nine) months from the date of Purchase order as mentioned below-</p> <ul style="list-style-type: none">I. Phase-1- 3 Months from the date of PO.II. Phase-2- 3 MonthsIII. Phase-3- 3 Months <p>Total TimeLine for completion for all the three phases- 9 Months from the date of PO.</p>
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REVISED ANNEXURE B : TECHNICAL EVALUATION CRITERIA

Technical criteria are classified under 3 heads - Expertise & Experience, Compliance to Functional Specifications and Presentation. The table below highlights the parameters under the technical criteria and scoring methodology.

SN	Criteria	Max Score	Minimum Qualifying Score
1	Expertise & Experience	50	
2	Presentation	20	
3	Compliance Functional Specifications	230	
	Total	300	150

Criteria	Evaluation Parameters	Max Marks	Scoring Methodology
1 Expertise & Experience			
1.1	Number of Banks where solution has been implemented	30	<p>10 Marks – for implementation of IACS software in Public Sector Banks (in Multiple of 10 for Each such credentials subjected to max.30 marks))</p> <p>5 Marks – with implementation of IACS software in Private Sector Bank (in Multiple of 5 for Each such credentials subjected to max.30 marks)</p> <p>Scores will be multiple based on above criteria for number of credentials subject to maximum of 30 Marks</p>
1.2	Coverage of Audit/inspection modules mentioned in the Scope of Work	20	<p>5 Marks if less than 4 Modules are implemented</p> <p>10 Marks if 5-8 Modules are implemented</p> <p>15 Marks if 9-12 modules are implemented</p> <p>20 Marks if more than 12 modules are implemented</p>
	Sub-Total	50	
2 compliance to Functional Specification			



Criteria	Evaluation Parameters	Max Marks	Scoring Methodology															
2.1	Compliance to functional specifications as per Annexure H	230	<p>All the functionalities mentioned Annexure H are mandatory. Even if these functionalities have not been demonstrated at the time of technical evaluation (Marked as unavailable or customizable). Vendor has to provide/customize these functionalities at the time of implementation</p> <p>The bidder is required to provide Mandatory requirements and meet minimum score requirement</p> <p>Scoring methodology per functional parameters would be as under:</p> <table border="1"> <thead> <tr> <th>Feature Status</th> <th>Short form</th> <th>Remarks</th> </tr> </thead> <tbody> <tr> <td>Readily Available</td> <td>RA</td> <td>The feature is already supported and included in the out-of-the-box solution (1 Marks shall be allotted)</td> </tr> <tr> <td>Customization</td> <td>CU</td> <td>Can be developed / customized and delivered along with the Solution, prior to implementation at no extra cost. (1 Mark shall be allotted)</td> </tr> <tr> <td>Not available, but can be developed with additional cost</td> <td>NC</td> <td>Not available, can be developed with additional cost (0.25 Mark shall be allotted)</td> </tr> <tr> <td>Not supported / Unavailable</td> <td>UA</td> <td>This capability is neither supported nor available with this Solution. (0 / No Mark shall</td> </tr> </tbody> </table>	Feature Status	Short form	Remarks	Readily Available	RA	The feature is already supported and included in the out-of-the-box solution (1 Marks shall be allotted)	Customization	CU	Can be developed / customized and delivered along with the Solution, prior to implementation at no extra cost. (1 Mark shall be allotted)	Not available, but can be developed with additional cost	NC	Not available, can be developed with additional cost (0.25 Mark shall be allotted)	Not supported / Unavailable	UA	This capability is neither supported nor available with this Solution. (0 / No Mark shall
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	3 Presentation																	



Criteria	Evaluation Parameters	Max Marks	Scoring Methodology
3.1	Presentation to the Bank's Evaluation Team	20	Subjective Evaluation based on the Bank's perception of the quality & applicability of the proposal and interactions held during presentation based on following: Project Execution Methodology. Adherence to Project Timelines Execution Competency (Functional & Technical Competency) No of OS supported by Solutions No of Databases Supported by Solutions Approach and Resource Commitment (Employee profiles of all key personnel as part of this project)
	Sub-Total	20	
	Total Marks	300	
	Cumulative Required Technical Score for Qualifying	180	

Note :

1. The experience of bidder for the projects executed as subcontract will be scored, provided that the Bidder provides subcontracted PO to the Bank as a proof
2. The bidder is required to provide documentary evidence for each of the above criteria and the same would be required on the client's letter head in case of credentials
3. Further the Bank's officials would visit reference sites provided by the Bidder if deemed necessary.
4. In case there is only one bidder having technical score of 180 or more, the Bank may, at its sole discretion, also consider the next highest technical score and qualify such bidder. In case, none of the participating bidder s qualify on technical criteria and reach or exceed the cut-off score of 180, then the Bank, at its sole discretion, may qualify two bidder s on the basis of the top 2 scores. However, the Bank at its discretion may reject the proposal of the Bidder or will not consider bidder below cutoff marks by relaxing as mentioned above, if in the Bank's opinion the Bidder could not present or demonstrate the proposed solution as described in the proposal or in case the responses received from the customer contacts / site visited are negative or the proposed solution does not meet the Bank's functional and technical requirement

REVISED Annexure D : Eligibility Evaluation

The Bidder is required to meet the following eligibility criteria and provide adequate documentary evidence for each of the criteria stipulated below:

ELIGIBILITY CRITERIA COMPLIANCE TABLE

Sr. No	Eligibility Criteria	Complied (Yes/No)	Supporting Required
1	The Bidder submitting the offers should be a Registered Company in India under the Companies Act, 1956 / 2013 or The bidder must be a registered firm/ company//LLP/ trust/government owned organization/and shall be in existence for the last five years (as on 31.03.2021). Copy of the certificate of incorporation should be enclosed as documentary proof.		Certificate of Incorporation, Certificate of Registration
2	The Bidder should have a yearly turnover of greater than Rs.5 crores (each year) in the past three financial years (2018-19, 2019-20 and 2020-21). This must be the individual Company's turnover and not that of any group of Companies. The bidder should have positive net worth in each of last 3 financial years. (Certified / Audited Balance Sheets and P&L statements for last 3 years should be submitted in support of the turnover and profitability. The Bidder should submit a Certificate from their Chartered Accountants regarding their financial capability.)		Copy of the audited Balance Sheet and / or Certificate of the Chartered Accountant for preceding three years and copy of the PAN card issued by the IT department FY 2018-2019 FY 2019-2020 FY 2020-2021
3	The bidder should have support Office/Branch in Pune. In case direct support offices of the bidder is not present in Pune then an undertaking to be		Supporting document. Undertaking confirming availability of direct support at Pune

Sr. No	Eligibility Criteria	Complied (Yes/No)	Supporting Required
	provided by the bidder stating that direct support would be provided by the Bidder at Pune		
5	The bidder should be OEM / authorized partner for the software quoted for (Proof to be submitted). Either OEM or authorized partners of OEM can bid in a tender but not both.		
6	The Bidder shall not have been blacklisted by any government organization/State Govt./PSU/banks.		Self-Declaration / An undertaking on letter head to this effect is to be submitted by the bidder with Technical Bid.
7	At the time of bidding , Bidder/OEM should not have any mitigation pending against the bank in any court of law.		Self-Declaration / An undertaking on letter head to this effect is to be submitted by the bidder with Technical Bid.
8	The Bidder should have experience of completing the implementation and support for IAMS modules in at least one Scheduled Commercial Banks having Min. 500 Branches in India successfully. The solution should be live as on date of floating of RFP. The bidder should submit a letter issued by the scheduled commercial banks stating the services are found satisfactory.		Successful completion certificates or engagement letter from the Bank. Or PO / Agreement copy along with latest invoice The Bidder to submit successful completion letter/PO or legal agreement and latest invoice
9	Bidder should have Core Team of Minimum 2 resources having experience of minimum 4 years in Audit Management Software in implementation of any of following RBIA, Concurrent, Management & IS Audits to execute the current assignment		Profiles of Team members with relevant proof of implementation.

Sr. No	Eligibility Criteria	Complied (Yes/No)	Supporting Required
	of implementation of modules. Profile of proposed team members is required to be furnished. List and Profiles of resources having such expertise detailing the projects handled to be provided Including a list of resources to be deployed for the Bank project to be submitted		
10	Adoption Integrity Pact		Submitted Integrity Pact on Rs. 500 Stamp Paper as format prescribed in Annexure 13

Note:

- 1) Documentary evidence must be submitted for each criterion.
- 2) Public Sector / Scheduled commercial banks include RBI and do not include Regional Rural Banks and Cooperative Banks. These Banks refer to Banks in India only.

REVSIED ANNEXURE A : COMMERCIAL BID FORMAT

The bidder is required to update the commercials in the following format:

Table1

SN	Requirement	One Time Charges (Rs.)	Recurring Cost in Rs.					Total (Rs)
			Year1	Year2	Year3	Year4	Year5	
1	* Enterprise License Cost for all the modules mentioned in SOW		NA					
2	Installation, Configuration, Implementation, Customization of IACS modules #			NA	NA	NA	NA	NA
3	Onsite Support Charges for Two Resources after go-live	NA						
4	**Other reporting/environmental tools or software							
5	Development / customization requiring 250 man-days (Assuming 50 Man days in a year)	NA						
6	Total Cost							
	TCO in Words							

*** Enterprise License would mean - Unlimited Client License for Bank Branches in India & International territories, present & future subsidiaries and associates both domestic & international and present & future RRBs**

**** components which will not be provided by Bank but required by bidder's solution for implementation.**

Implementation cost will include implementing of the new solution as well as migration from the existing solution.

Table 2

Breakup of Sr No 1 of Table 1 : Enterprise License Cost for all the modules mentioned in RFP

SN	Requirement	One Time Charges (Rs.)	Recurring Cost in Rs.					Total (Rs)
			Year1	Year2	Year3	Year4	Year5	
1	RBIA (Risk Based Internal Audit) of General Branches, Specialized Branches, Central Processing Cells like Retail, Agriculture, MSME, etc. (with provision of special reports)		NA					
2	Special Reports		NA					
3	Surprise Inspection		NA					
4	Special Inspection / Audit		NA					
5	Snap Audit		NA					
6	Short Verification Audit		NA					
7	Income and Expenditure audit of branches		NA					
8	Expenditure Audit of ZOs		NA					
9	Management Audit of ZO, HO Departments and Subsidiaries (e.g. MGB, METCO)		NA					
10	Concurrent Audit		NA					
11	Information System Audit of Branches, In-house developed applications, outsourced IT services, ISO Compliances, etc.		NA					
12	Currency Chest Audit – Bimonthly Cash Verifications		NA					

13	Cash and security verification Inspection		NA					
14	Forensic Audit		NA					
15	Zonal Level Review Committee (ZLRC)		NA					
16	Staff Accountability Examination		NA					
17	Offsite Monitoring Unit (OMU)		NA					
18	Attendance Report for Inspecting Officers		NA					
	Total Cost		NA					

Table 3

Breakup of Sr No 2 of Table 1 : Installation, Configuration, Implementation, Customization of IAMS modules

SN	Requirement	One Time Charges (Rs.)	Recurring Cost in Rs.					Total (Rs)
			Year1	Year2	Year3	Year4	Year5	
1	RBIA (Risk Based Internal Audit) of General Branches, Specialized Branches, Central Processing Cells like Retail, Agriculture, MSME, etc. (with provision of special reports)		NA	NA	NA	NA	NA	
2	Special Reports		NA	NA	NA	NA	NA	
3	Surprise Inspection		NA	NA	NA	NA	NA	
4	Special Inspection / Audit		NA	NA	NA	NA	NA	
5	Snap Audit		NA	NA	NA	NA	NA	
6	Short Verification Audit		NA	NA	NA	NA	NA	
7	Income and Expenditure audit of branches		NA	NA	NA	NA	NA	
8	Expenditure Audit of ZOs		NA	NA	NA	NA	NA	
9	Management Audit of ZO, HO Departments and Subsidiaries (e.g. MGB, METCO)		NA	NA	NA	NA	NA	



10	Concurrent Audit		NA	NA	NA	NA	NA	
11	Information System Audit of Branches, In-house developed applications, outsourced IT services, ISO Compliances, etc.		NA	NA	NA	NA	NA	
12	Currency Chest Audit – Bimonthly Cash Verifications		NA	NA	NA	NA	NA	
13	Cash and security verification Inspection		NA	NA	NA	NA	NA	
14	Forensic Audit		NA	NA	NA	NA	NA	
15	Zonal Level Review Committee (ZLRC)		NA	NA	NA	NA	NA	
16	Staff Accountability Examination		NA	NA	NA	NA	NA	
17	Offsite Monitoring Unit (OMU)							
18	Attendance Report for Inspecting Officers							
	Total Cost		NA	NA	NA	NA	NA	

Table 4

Breakup of Sr No 3 of Table 1 : Onsite Support Charges for Two Resources after go-live

SN	Requirement	Quantity	Recurring Cost in Rs.					Total (Rs)
			Year1	Year2	Year3	Year4	Year5	
1	Resource Level							
	Total Cost							

(bidder may add row as per requirement)

Table 5

Breakup of Sr No 4 of Table 1 : Other reporting/environmental tools or software

SN	Requirement	One Time Charges (Rs.)	Recurring Cost in Rs.					Total (Rs)
			Year1	Year2	Year3	Year4	Year5	
1	Items details							
	Total Cost							

(bidder may add row as per requirement)

Terms & Conditions:

1. For each of the above items provided the vendor is required to provide the cost for every line item where the vendor has considered the cost in BOM Bank reserves the right to implement or drop any of the above listed items without assigning any reason.



2. For each of the above items provided the vendor is required to provide the cost for every line item where the vendor has considered the cost in BOM
3. If the cost for any line item is indicated as zero then it will be assumed by the Bank that the said item is provided to the Bank without any cost
4. The price quoted for the project should be an all-inclusive price including any taxes, expenses and levies but excluding GST and is a fixed price.
5. Bank will deduct applicable TDS, if any, as per the law of the land.
6. The quoted fixed cost against each item shall remain unchanged till the completion of the Project(s).
7. The base project location will be Pune.
8. The TCO in words is amount on which the commercial evaluation will be conducted.
9. All prices to be valid for a period of 2 years from the date of contract execution / signing.
10. The Bank reserves the right to renew the contract post completion contract period and the commercials for the same will be discussed based on the scope of work.
11. Bidder should factor all your expenses like travelling, boarding, lodging etc. Apart from amount specified in Commercials, no other expenses will be paid by the Bank.
12. Bidder shall depute resources on-site of the project implementation location(s) for carrying out the task as specified in this document.
13. The cost quoted is in fixed price and no increase in rate will be admissible by the Bank for whatsoever reasons during the contract period.
14. The cost quoted also includes the cost of deliverables for all the phases of the Project.
15. Further, we confirm that we will abide by all the terms and conditions mentioned in the Request for Proposal document.
16. Fee is payable only on actual availing of services and no minimum or fixed fees are payable.
17. Bidder is expected to provide detail bill of material along with the commercial proposal for the proposed hardware and appliances.

Place:

Date:

Seal & Signature of the Bidder

Note:

- i. **All the Columns in all the above tables of this Annexure must be completely filled and should not be kept blank.**
- ii. **The Prices quoted shall be inclusive of all taxes and levies; and exclusive of GST.**
- iii. **GST Shall be paid on actual basis.**
- iv. **Bidder has to quote for all the three categories.**
- v. **Bank will consider L-1 Bidder based on TCO mentioned above.**
- vi. **In case of difference between amount quoted in figure and in words. The amount quoted in words shall prevail.**

Annexure I - List of Software / Components

To,
General Manager (IT),
Bank of Maharashtra
Information Technology,
Head Office,
Lokmangal, Shivajinagar,
Pune – 411005

Sub: List of Software / Components required for implementation of RFP NO: _____
for Supply, Implementation & Maintenance of _____ dated: DD-MM-YYYY

[Please provide details of software required along with mapping of scope of work in the RFP. You are also requested to provide a reference of the page number, state the OEM names and licensing Metrics]

Sr. No.	Page #	Point / Section/Scope #	Software / Tool or Component Required	OEM Name (Mentioned Multiple Names if multiple options are available)	Justification (Why Software is needed)	Licensing Metric for software (User Based/ Processor Based/ any other)
1						
2						
3						
4						
5						
6						
7						
8						

Yours faithfully,

Authorized Signatory

Designation
Bidder's name

Notice regarding online Technical bid submission



Bank has opted for technical bid submission though online mode. The detailed instructions pertaining to Online mode of bid submission was already part of **Annexure-5** of RFP01/2022-23

The said Tender shall be available online on website <https://eauction.auctiontiger.net> .The bidders has to submit their bids by uploading the required document on the portal <https://eauction.auctiontiger.net> on or before the due date. The notice regarding date and time of online Technical bid submission shall also be notified separately on Bank's official website <https://www.bankofmaharashtra.in> . Please note that Bids submitted other than Online mode mentioned above shall not be accepted.

RFP Calendar stands revised as under:

Last Date and Time for receipts of Technical bids	09.05.2022 upto 14:00 hours
Time and Date of Opening of Technical bids	09.05.2022 at 15:00 hours

Note- Except changes mentioned above, there is no change in any clauses, terms & conditions of the RFP document.

Sd/-
Deputy General Manager
Information Technology